

UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Holze et al.

Attorney Docket No.: SUN1P168C2/P2840C2

Application No.: 09/837,901

Title:

Examiner: UNASSIGNED

Filed: April 18, 2001

Group: 2122

METHOD AND APPARATUS FOR

DYNAMICALLY OPTIMIZING BYTE-

CODED PROGRAMS

CERTIFICATE OF MAILING

SEP OF JODY I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, Washington, DC 20231 on August 30, 2001.

INFORMATION DISCLOSURE STATEMENT 37 CFR §§1.56 AND 1.97(b)

Commissioner for Patents Washington, DC 20231

Dear Sir:

The references listed in the attached PTO Form 1449 may be material to examination of the above-identified patent application. Applicants submit the list of these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application. The above-identified application is a Continuation of prior application U.S. Patent Application No. 09/365,706. This prior application is being relied upon for an earlier filing date under 35 U.S.C. § 120. Because the listed references were either cited by the PTO, or submitted to the PTO in the prior application, under 37 CFR § 1.98(d) Applicants submit that copies need not be provided.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is: (i) filed within three (3) months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits, or (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure

Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500388 (Order No. <u>SUN1P168C2</u>).

Respectfully submitted,
BEYER WEAVER & THOMAS, LLP

Godfley K. Kwan

Registration No. 46,850

P.O. Box 778 Berkeley, CA 94704-0778



Form 1449 (Modified)

Information Disclosure Statement By Applicant

(Use Several Sheets if Necessary)

Atty Docket No.	SUN1P168C2
Application No.:	09/837,901
Applicant	Holzle et al.
Filing Date	April 18, 2001
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U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub- class	Filing Date
	A.	5,694,603	12/2/97	Reiffin			
	B.	5,586,328	12/17/98	Caron et al.		RF.	
	C.	4,658,351	4/14/87	Teng	- **	RECE	IVER
	D.					PEP n	,
	E.				Tech	201-	₹001
	F.					Cen	to
	G.						₹001 ter ≥100

Foreign Patent or Published Foreign Patent Application

Examiner Initial		Document No.	Publication Date	Country or Patent Office	Class	Sub- Class	Translation Yes No
	H.				-		
	I.						
	J.						

Other Documents

Examiner Initial	No.	Author, Title, Place (e.g. Journal) of Publication, Date			
	K.	Holzle, Urs and Ungar, David, A Third-Generation SELF Implementation: Reconciling Responsiveness with Performance, OOPSLA and ACM, 10/1994.			
	L.	Holzle, Urs and Ungar, David, Optimizing Dyunamically Dispatched Calls with Run-Time Type Feedback, SIGPLAN and ACM, 6/1994.			
	M.	Holzle, Urs - Chambers, Craig-Ungar, David, Debugging Optimized Code with Dynamic Deoptimization, ACM SIGPLAN 92, 6/1992.			
N.		Holzle, Urs and Ungar, David, Reconciling Responsiveness with Performance in Pure Object-Oriented Languages, ACM Transactions on Programming Languages and Systems, Vol. 18, No. 4, Pages 355-400, 7/1996.			
	O.				
	P.				
Examiner	•	Date Considered			

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.